

| Trust Board meeting (Part 1) | | Date: | 24 February 2016 |
|---|---|--|------------------|
| Agenda item | Title | Executive Director lead and presenter | Report author |
| BD/15/254 | Quarterly Review of Performance against Annual Objectives | Hayley Richards, Acting Chief Executive | Emma Roberts |
| This report is for: | | | |
| Decision | | | X |
| Discussion | | | |
| To Note | | | |
| History | | | |
| None. | | | |
| The following impacts have been identified and assessed within this report | | | |
| Equality | None identified | | |
| Quality | None identified. | | |
| Privacy | None identified. | | |
| Executive summary of key issues | | | |
| <p>The Trust Board approved the Annual Objectives for 2015/16 at its meeting on 29 April 2015, and committed to report progress against those objectives through regular reports at quarterly intervals.</p> <p>The Annual Objectives provide a key element of the corporate governance framework of the Trust, enabling the Trust Board to hold the leadership to account. Accompanying the objectives are a series of assurance ‘dashboards’, which provide the board information pertaining to the achievement of objectives, and the assurance that the Board may rely upon that the controls in place are effective at mitigating the risks to these objectives at this stage in the year. The board uses the dashboards in order to demonstrate the interrelationship between the achievements of the objectives, the risks to those objectives, and how we are assured that the controls in place to mitigate these risks remains effective. This allows for meaningful interrogation of the robustness of assurances at Board level and the consequential impact of risk, mitigation and control on the achievement of operational and strategic objectives, and significant challenge about the robustness of the assurances.</p> <p>Executive Directors will provide the Board with commentary on progress made from the identified baseline performance, key points to note as highlighted by the dashboard and anticipated delivery timescales.</p> | | | |
| This report addresses these strategic priorities: | | | |
| We will deliver the best care | | | X |
| We will support and develop our staff | | | X |
| We will continually improve what we do | | | X |
| We will use our resources wisely | | | X |
| We will be future focussed | | | X |

1 Introduction

1.1 Assurance Dashboards

The dashboard approach was devised to ensure that risks were identified against each objective and that the board had a clear mechanism by which to scrutinise the assurances against the management controls in place to mitigate each of the risks to the Trust's objectives.

1.1.1 Reporting

Each dashboard is considered at Executive Team on rotation, with each Executive Directorate accountable for the information contained within their dashboard.

Additionally, the dashboards are then aligned with Board Committees, and at the last board meeting.

Following a recommendation at Trust Board, work is underway to further formalise the review of the key risks to Trust objectives at each of the Trust committees. This forms part of the Board Committee workplan.

The dashboards are reviewed by the Board quarterly as part of its review of the assurance framework, and the Audit and Risk Committee carries out a deep dive of the underlying assurance framework on an annual basis.

1.1.2 Moving Forward

It is proposed that eventually the Trust move away from a separate board assurance framework, in favour of reliance on the dashboard mechanism of reporting, since this offers the board a more effective and triangulated mechanism to seek assurance against the controls in place against achievement of objectives.

The publication of the Trust objectives for 2016/17 will prompt a comprehensive review of all of the Trusts risks, including the high-level operational risks contained within the three operational Executive Risk Registers and the longer-term strategic risks contained within the Strategic Risk Register.

2 Performance Against Achievement of Objectives

The dashboards provide graphical representation of performance against each of the objectives for 2015/16.

Whilst in most areas, the Trust is on track to achieve its objectives by year end there are some areas of challenge as set out in the Dashboard documents. In a few areas, some of that challenge has arisen as a result of difficulties in identifying clear ways of measuring the achievement of objectives. This has been taken on-board in formulating the 2016/17 objectives.

3 Recommendation

The Board is asked to **note** the Assurance Dashboards.