

Trust Board meeting		Date:	26 July 2017
Agenda item	Title	Executive Director lead and presenter	Report author
BD/17/102	Report of the Audit and Risk Committee Chair from meeting held on 23 May 2017	Charlotte Moar, NED	Charlotte Moar, NED
<b>This report is for:</b>			
Decision			
Discussion			
To Note			X
<b>History</b>			
None.			
<b>The following impacts have been identified and assessed within this report</b>			
Equality	None identified at this time.		
Quality	None identified at this time.		
Privacy	None identified at this time.		
<b>Executive summary of key issues</b>			
The Board is asked to <b>note</b> the report.			
<b>This report addresses these strategic priorities:</b>			
We will deliver the best care			X
We will support and develop our staff			X
We will continually improve what we do			X
We will use our resources wisely			X
We will be future focussed			X

## 1 Business Undertaken

- The Committee considered the following:
- B&NES Risk Register
- Update on risk arrangements
- Counter Fraud 2017/18 plan
- Annual report, governance statement and letter of representation for 2016/17
- Information Commissioners Office follow up report

## 2 Key Decisions

- The Committee approved the annual report, annual governance statement and letter of representation for 2016/17. The Committee thanked the finance and governance teams for their hard work to produce these to such a high standard.
- The Committee approved the Charitable Funds annual report and accounts for 2016/17 noting the high quality of the report.
- The Committee approved 2017/18 Counter Fraud plan and the 2016/17 self-assessment.
- The Committee approved the risk element of the self-assessment against the Well Led Framework of Strategy and Risk, noting that the score was amber-red. The Committee also approved the Risk Management process.

## 3 Exceptions and Challenges

- The Committee noted the key action now was to confirm the plan to update the risk management policy and risk appetite matrix, finalise the system changes to Riskweb and agree the training plan and develop further the plan to ensure that all staff understand how to identify, mitigate and escalate risks.

## 4 Impact of Risks to the Achievement of Strategic Objectives

- The B&NES risk register was presented and provided assurance that risks were being identified and mitigating actions taken.
- The Committee received the general risk management report and was assured on the following:
  - Process for the BAF and strategic risks to be approved by the Board in May
  - Executive Team process for the regular review of corporate risks and escalation to strategy if appropriate

## 5 Governance and Other Business

- The Committee noted that the internal audit plan for 2016/17 had been completed and that the final report on Unexpected Deaths would go to Quality and Standards Committee in June.
- The Committee noted that all but two of the actions recommended in the ICO report had been completed and these were in progress which gave significant assurance.

## 6 Future Business

- The Committee noted that AWP had not suffered adverse impact from the recent cybersecurity threat and asked for lessons learnt to be included on a future Committee agenda.

## 7 Horizontal Reporting

- One horizontal reporting action from the Board in response to the NHSI letter on finance and governance was noted.