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Summary Report – Trust Board (Part 1)	Date and time: 26th September - 10.00am
Report Title:	
Risk Management Strategy	
Agenda Item: 11	Enclosures: Appendix 1: Risk Management Strategy Appendix 2: Revised Trust committee/corporate governance structure
Sponsor & Presenter: Hazel Watson, Director of Nursing, Compliance, Assurance and Standards	
Report Author: Linda Hutchings, Head of Risk and Compliance	
Report discussed previously at:	This Strategy has come directly to Board

Purpose of Report and Action Required		
To provide an interim update to the Trust's Risk Management Strategy to reflect the Trust's changed governance arrangements and to address the recommendations arising out of the Fit for the Future Implementation Plan.	Approval	X
	Discussion	
	Information	

Executive Summary of Key Issues
<p>The Risk Management Strategy is presented to the Trust Board for approval. It has been revised with two primary focuses:</p> <ul style="list-style-type: none"> To reflect the Trust's new governance arrangements To address the issues identified in the Fit for the Future action plan.

The Strategic Objective that this paper addresses	
A sustainable value for money business	Yes
Excellent service user access and experience	Yes
Excellent partnership working with other organisations	Yes
Effective engagement and improvement in staff satisfaction	Yes

Links to Fit for the Future Implementation Plan	
Objective number	9.2

Corporate Impact Assessment		
Quality and Safety Implications		The Risk Management Strategy is a requirement for Report for Avon and Wiltshire Mental Health Partnership NHS Trust Board 2012-09-26
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Risk Management Strategy

	the Annual Statement of Governance
Corporate Risk Register	All
FGEB (Trust ALE replacement)	The risk section
Equality Impact Analysis	There are no equality issues highlighted by this strategy.

Recommendations for other Committees

None.

Recommendations/Decisions

The Board is recommended to **approve** the Risk Management Strategy

1. Overview

The Trust reviewed its Risk Management Strategy in February 2012 as part of its usual annual revision. An interim update has been required this year to reflect the Trust's changed governance arrangements and to address the recommendations arising out of the Fit for the Future Implementation Plan.

2. Analysis and discussion

The Strategy now reflects that the corporate risk register is subject to monthly scrutiny by the Trust-wide Management Group rather than the Executive Management Team.

The Strategy now states that the Committee with overall responsibility for risk is the Audit and Risk Committee, rather than the Quality and Healthcare Governance Committee.

Appendix 2 of the Strategy pictorially represents the Trust's revised committee/corporate governance structure as they currently stand. It is recognised that further work is required to revise and align the terms of reference of the groups and committees and this work will be informed by the output of the assurance seminar scheduled for the 19 September.

Importantly for CNST compliance purposes, the risk responsibilities and inter-relationships between all committees and groups need to be clearly established; in particular the linkages between management groups (which report to the Trust-wide Management Group) and the Board reporting committees.

The Strategy has been further strengthened in relation to describing risk flows, notably escalations and de-escalations, and two diagrams have been added for clarity.

The work schedule of the Audit and Risk Committee has already been revised and now includes an in-depth review of a directorate risk register at each of its meetings. This brings a non-executive challenge to risk validation and challenges executives to ensure that their risk processes are dynamic and responsive. Additionally, the Audit and Risk Committee subjects the corporate risk register to the same scrutiny.

Systems have also been strengthened within the Trust to report on risk score changes so that escalations and de-escalations can be easily tracked and reported upon.

There is training available for all managers on risk management and efforts are being made to improve uptake to ensure Trust standards are met in the formulation of risk registers.

3. Conclusion

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Risk Management Strategy

Unusually, an interim review of the Risk Management Strategy has occurred this year. The Strategy will be considered by Auditors as part of the Annual Governance Statement work.

4. Recommendation

The Trust Board is asked to approve the Risk Management Strategy.

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