

**'You matter, we care'**

Trust Board Meeting – Part 1	Date: 25 September 2013
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<b>Title:</b>	Board Assurance Framework and Trust-wide Risk Register
<b>Item:</b>	BD/13/171

<b>Executive Director lead and presenter</b>	Emma Roberts, Company Secretary
<b>Report author(s)</b>	Emma Roberts, Company Secretary

<b>History:</b>	<i>Trust-wide Risk Register discussed by ET, risks reviewed by Risk Owners</i>
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<b>This report is for:</b>	
Decision	X
Discussion	X
To Note	

<b>Executive summary of key issues:</b>
<p>The Board Assurance Framework and Trust-wide Risk Register are presented for review and challenge by the Board.</p> <p>Risk IBP13 has been reviewed and rescored, with an increase to the current score from 8 to 12, subsequently increasing this from an amber to red risk. The risk is defined as follows: "Failure to develop a positive organisational culture (in which staff values and motivations resonate with the values and priorities of the organisation) will have a negative impact on staff engagement and satisfaction. This may have a negative impact on service quality." This increase in score is the result of the identification of planned controls which are yet to be implemented, therefore the current score remains at the pre-mitigation, inherent score of 12 until we can be assured these controls are in place. The planned control against this risk is the development of the Workforce Strategy. The completion of this and subsequent actions taken will provide mitigation for this risk through talent management and health and wellbeing work.</p> <p>There have been no other significant changes to the profile of risks identified on the Trust-wide Risk Register have been made</p> <p>This month the Trust-wide Risk Register and supporting Executive Risk Registers have been discussed by ET. Next month all Executive Risk Registers will be reviewed at SMT. SMT will decide whether any risks require escalation to the Trust-wide Risk Register for the Board's</p>

attention at that time.

Work to review assurances identified on the Board Assurance Framework is on-going, with a piece of assurance mapping work planned to identify sources of assurance Trust-wide. During September the document has been updated where risks and controls have changed at departmental level.

The following appendices are provided with this report:

1. Board Assurance Framework v1.2, September 2013
2. Trust-wide Risk Register, September 2013 – risks divided by area
3. Trust-wide Risk Register, September 2013 – risks sorted from high to low scoring

The Trust-wide Risk Register and Board Assurance Framework are presented to the Board for review and challenge. It is recommended that the Board **note** the report and appendices.

**This report addresses these Strategic Objectives:**

Consolidate	X
Integrate	X
Expand	X

**This report addresses these Values:**

<b>Passion</b>	Doing our best, all of the time	
<b>Respect</b>	Listening, understanding and valuing what you tell us	
<b>Integrity</b>	Being open, honest, straightforward and reliable	X
<b>Diversity</b>	Relating to everyone as an individual	
<b>Excellence</b>	Striving to provide the highest quality support	X